

Statewide Student Information System Study
Request for Proposal # SIS-001
June 25, 2007

The Iowa Department of Education, Grimes State Office Building, 400 E 14th St, Des Moines, IA 50319, will be receiving proposals to conduct a study of statewide student information systems until 3:00 p.m. local Iowa time, Monday, July 23, 2007. Late proposals will not be considered.

For information regarding this notice, contact Issuing Officer:

Roger Stirler
Iowa Department of Education
Grimes State Office Building
400 E 14th St
Des Moines IA 50319
Phone: 515-281-3968
E-mail: ed.rfp@iowa.gov

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Section 1 Administrative Issues

- 1.1 Purpose.** The Iowa Department of Education (DE) seeks a contractor/vendor to work with the Department on completing a study mandated by the Iowa General Assembly (HF 468). This study requires the DE to conduct a study regarding the student information systems currently in use in the state, the types of data collected and the future needs for additional types of data at the K-12 and postsecondary levels.
- 1.2 Questions and Answers.** Vendors are invited to submit written questions and/or requests for interpretations concerning this RFP SIS-001 on or before 4:30 p.m. local Iowa time, July 9, 2007. Oral questions will not be accepted, and verbal communications shall not override written/e-mail communications. The DE will prepare a written response via e-mail to all pertinent questions submitted by vendors by July 13, 2007.
- 1.3 Issuing Officer.** All questions, letters of intent, and proposals should be submitted to:

Roger Stirler
Iowa Department of Education
Grimes State Office Building
Des Moines, Iowa 50319
515-281-3968
ed.rfp@iowa.gov

- 1.4 Letters of intent to apply.** A letter indicating intent to apply must be received no later than 4:30 p.m. Monday, July 2, 2007. The letter may be delivered via electronic mail (e-mail), postal delivery, or hand delivery. The letter of intent to apply must include the vendor's name, mailing address, e-mail address, telephone number, and a statement of intent to apply. Submitting a letter of intent to apply is a mandatory condition to submit a proposal.
- 1.5 Submission of proposals.** **Proposals must be received at the DE no later than 3:00 p.m. local Iowa time, Monday, July 23, 2007.** Proposals may be submitted via electronic mail (e-mail), postal delivery, or hand delivery. A late proposal shall be returned to the vendor and will not be considered.
- 1.6 Proposal opening.** Proposals will be opened on Tuesday, July 24, 2007. The proposals will remain confidential until the DE evaluation committee has reviewed all of the proposals, per Iowa Code Section 72.3.
- 1.7 Timeline**
- | | |
|----------------|---|
| June 21, 2007 | RFP Released to Targeted Small Businesses |
| June 25, 2007 | RFP Released to General Public |
| July 2, 2007 | Letters of Intent due to Department |
| July 9, 2007 | Questions due to Department |
| July 13, 2007 | Answers released to vendors filing letter of intent |
| July 23, 2007 | Bid Proposals due to Department |
| July 24, 2007 | Bid Proposals Opened and Scored |
| August 1, 2007 | Notice of Intent to Award and Contract Negotiation |

Section 2 Service Requirements

2.1 Scope of work. The DE seeks applications to fulfill the requirements of House File 468. Requirements are to conduct a study regarding the student information systems currently in use in the state, the types of data collected, and the future needs for additional types of data at the kindergarten through grade twelve and postsecondary levels and for use by the state, including but not limited to the use of electronic student transcripts to share with other kindergarten through grade twelve and postsecondary institutions. This study shall examine the systems in use in other states as well as current systems approved for use within the DE's Project EASIER and the emerging student information data systems under development. The study shall take under consideration a recommendation on limits on the numbers of software systems approved to connect to Project EASIER. The study shall focus on systems that will improve efficiency, accuracy, and security of, and access to, the data by various users. A written report is to be provided the DE no later than December 15, 2007, in order that the DE may meet the statutory requirement of submitting a report to the Iowa General Assembly by January 15, 2008. Amount to be contracted with the vendor is \$25,000 including expenses.

- Time period of implementation is August 1, 2007, through December 15, 2007.

2.2 Eligible applicants. Eligible applicants include public, not-for-profit, and private sector entities. Applicants should have previous experience conducting similar types of studies.

Section 3 Content of Proposal

3.1 Instructions. The proposal shall include the following:

- 3.1.1.1** Statements that demonstrate that the vendor understands and agrees with the terms and conditions of the Request for Proposals.
- 3.1.1.2** A demonstration/description of the vendor's previous and on-going experience in conducting studies of a similar nature.
- 3.1.1.3** Description of the vendor's qualifications to complete the work. The vendor should describe how previous work experiences coincide with the requirements of this application. Included in this work should be a description of how the vendor has been engaged in similar work.
- 3.1.1.4** Names and qualifications (resumes/vitas) of key staff members and/or contractors who will perform the duties.

Section 4 Evaluation Steps

4.1 Evaluation criteria. The DE evaluation committee will evaluate all compliant proposals and make an award using the following criteria that are listed in no particular order:

- 4.1.1 Total points to be earned=100 points

- 4.1.1.1 Proven experience/track record of vendor in related initiatives=60 points
- 4.1.1.2 Experience of key staff members and/or contractors=40 points
- 4.1.2 Recommendations of evaluation committee. The final ranking and recommendation of the evaluation committee shall be presented to the Division Administrator, PK-12 Education for consideration.

Section 5 Contract Terms and Conditions

- 5.1** Contract terms. The contract is attached in Appendix B.
- 5.2** Length of contract. It is anticipated that this contract will be from August 1, 2007, through December 15, 2007.

Attachment #1

Contract Terms and Conditions

Agreement #

CONTRACTUAL AGREEMENT BETWEEN

STATE OF IOWA, DEPARTMENT OF EDUCATION, (DE) Bureau/Division of _____
Grimes State Office Bldg., 400 E 14th St, Des Moines, IA 50319-0146.
Department Contact Person: _____ Phone: (515) _____

AND

CONTRACTING PARTY - AGENCY OR INDIVIDUAL: _____

ADDRESS: _____

CITY, STATE, ZIP: _____ Phone: _____

AGENCY CONTACT PERSON OR AGENCY PERSON TO PERFORM SERVICES: _____

CONTRACTING PARTY: IF AN INDIVIDUAL, YOUR SOCIAL SECURITY NUMBER: _____
IF AN AGENCY, YOUR FEIN NUMBER: _____

THE DEPARTMENT WILL COMPENSATE FOR THE FOLLOWING SERVICES RENDERED BY
CONTRACTING PARTY:
(DESCRIPTION OF SERVICES) _____

CONTRACT PERIOD: Service begins: _____ Service ends: _____

COMPENSATION: As indicated and in accordance with the stated terms below.

Contract is for actual costs estimated as shown here in total and itemized below under
"Associated Costs" section.

Contract is for a specified fee totaling the shown amount for _____ (Number) _____ (Units)
at \$_____ per _____ (Unit)

\$0.00

CONTRACT IS NOT TO EXCEED AMOUNT SHOWN ON THIS LINE.

ASSOCIATED COSTS: Only the items designated are covered; required documentation is indicated under
"Other Conditions and Requirements" section. (Estimated costs shown)

\$_____ TRAVEL: FROM _____ TO _____ AND RETURN

via means and for the amounts shown:

Air Coach \$_____ Auto \$_____ Taxi \$_____ Parking \$_____

Other \$_____ (As stipulated in "Description of Services" section.)

\$_____ MEALS: Actual and necessary; not to exceed the following rates:

Breakfast \$_____ Lunch \$_____ Dinner \$_____

\$_____ LODGING: Number of nights _____, not to exceed \$_____ per night, plus tax.

\$_____ OTHER: (ITEMIZE) _____

CLAIM/PAYMENT PROVISIONS: _____

Payment requests should be submitted to the attention of: _____

FOR DEPARTMENT OF EDUCATION USE ONLY

FOR DEPARTMENT OF EDUCATION USE ONLY							PAYMENTS
FUND	AGENCY	ORGN	S/ORG	OBJ	ARC	AMOUNT	
	0282						
	0282						
	0282						
	0282						
TOTAL CONTRACT AMOUNT						\$0.00	
PRE-CONTRACT (ATTACHED OR N/A)		SOLE SOURCE (ATTACHED OR N/A)		PRIOR APPROVAL (ATTACHED OR N/A)			

OTHER CONDITIONS/REQUIREMENTS: Unless otherwise stipulated, the rates and requirements listed below shall apply:

Mileage reimbursement rate: 34 ¢ per mile.

Original Receipts: Must be submitted with a signed claim when the contract is with an individual.
Receipts are required for the following: (Credit card receipts are not acceptable)

TRAVEL: Air coach, taxi fares, related parking fees and car rental.

LODGING: Reimbursement approved only for those residing outside the designated meeting site.

Lodging must be outside contracting party's domicile.

OTHER: Registration fees and other items (as designated under "Associated Costs" section)
require receipts
unless specified otherwise.

Itemized Invoice: An agency must submit an itemized invoice detailing the expenses allowed by the contract.

ALL CLAIMS MUST BE FILED WITHIN 30 DAYS FOLLOWING THE CONTRACT SERVICE ENDING DATE.

TERMINATION: This contract may be terminated by either party upon ten- (10) days written notice.

NONTRANSFERENCE: Unless otherwise stipulated in this contract, the contracting party shall not transfer any interest in this contract without prior written approval from the Department of Education.

AMENDMENTS: Requests for an approval of amendments to this agreement must be mutually acceptable and in writing.

INDEMNIFICATION: The contracting party agrees jointly and severally to indemnify and hold the State, it successors and assigns harmless from and against all liability, loss, damage, or expense, including reasonable counsel fees, which the State shall incur by reason of the failure of the contracting party to perform fully and comply with the terms and obligations of this agreement.

AVAILABILITY OF FUNDS: This contract is subject to the anticipated availability of Federal and/or State funds under the program from which it is supported.

ASSURANCE: THE CONTRACTING PARTY, BY SIGNATURE AFFIXED BELOW, ASSURES THE DEPARTMENT THAT SAID CONTRACTING PARTY IS OPERATING IN COMPLIANCE WITH ALL APPLICABLE FEDERAL, STATE, AND LOCAL STATUTES, RULES AND REGULATIONS. INCLUDING CERTIFICATION THAT THE CONTRACTOR AND/OR ITS PRINCIPAL OFFICIALS ARE NOT SUSPENDED OR DISBARRED.

REPRESENTATIONS: VERBAL OR WRITTEN, THAT MAY HAVE BEEN MADE PRIOR TO THE SIGNING OF THIS CONTRACT AND ARE NOT EXPRESSLY STATED IN THE TERMS OF THE CONTRACT, ARE NONBINDING, VOID AND OF NO EFFECT. NEITHER PARTY HAS RELIED ON SUCH PRIOR REPRESENTATIONS IN ENTERING INTO THIS CONTRACTUAL AGREEMENT.

_____	_____	____/____/____
DE CONTACT (Requesting service)	TITLE	DATE

_____	_____	____/____/____
DE ADMINISTRATION TITLE	DATE	

_____	_____	____/____/____
CONTRACTING AGENCY OR INDIVIDUAL	TITLE	DATE

_____	_____	____/____/____
DE AUTHORIZING SIGNATURE	TITLE	DATE

CONTRACTING PARTY: SIGN, DATE, AND RETURN ORIGINAL COPY TO THE DEPARTMENT. THIS CONTRACT WILL BE VALID WHEN YOU RECEIVE A COPY WITH THE DEPARTMENT OF EDUCATION'S AUTHORIZING SIGNATURE.

AGREEMENT # _____

Attachment #2

Date

Roger Stirler, Issuing Officer
Iowa Department of Education
Grimes State Office Building
East 14th and Grand Avenue
Des Moines, IA 50319-0146

Re: Request for Proposal Number SIS-001
PROPOSAL CERTIFICATION

Dear Roger Stirler:

I certify that the contents of the proposal submitted on behalf of (Name of Vendor) in response to the Iowa Department of Education for Proposal Number SIS-001 to conduct a study of statewide student information systems. I also certify that (Name of Vendor) has not made any knowingly false statements in its proposal.

Sincerely,

Name and Title

Attachment #3

Date

Roger Stirler, Issuing Officer
Iowa Department of Education
Grimes State Office Building
East 14th and Grand Avenue
Des Moines, IA 50319-0146

Re: Request for Proposal Number #BA-001
CERTIFICATION OF INDEPENDENCE AND NO CONFLICT OF
INTEREST

Dear Roger Stirler:

By submitting a proposal in response to the Iowa Department of Education Request for Proposal Number SIS-001 to conduct a study of statewide student information systems, the undersigned certifies the following:

1. The proposal has been developed independently, without consultation, communication or agreement with any employee or consultant to the Agency who has worked on the development of this RFP, or with any person serving as a member of the evaluation committee.
2. The proposal has been developed independently, without consultation, communication or agreement with any other vendor or parties for the purpose of restricting competition.
3. Unless otherwise required by law, the information found in the proposal has not been knowingly disclosed and will not be knowingly disclosed prior to the award of the contract, directly or indirectly, to any other vendor.
4. No attempt has been made or will be made by (Name of Vendor) to induce any other vendor to submit or not to submit a proposal for the purpose restricting competition.
5. No relationship exists or will exist during the contract period between (Name of Vendor) and the Agency that interferes with fair competition or as a conflict of interest.

Sincerely,

Name and Title

Attachment #4

Date

Roger Stirler, Issuing Officer
Iowa Department of Education
Grimes State Office Building
East 14th and Grand Avenue
Des Moines, IA 50319-0146

Re: Request for Proposal Number #SIS-001
CERTIFICATION REGARDING DEBARMENT, SUSPENSION,
AND ELIGIBILITY, AND VOLUNTARY EXCLUSION

Dear Roger Stirler:

By submitting a proposal in response to the Iowa Department of Education's Request for Proposal Number #SIS-001 to conduct a study of statewide student information systems, the undersigned certifies the following:

1. I certify that, to the best of my knowledge, (Name of Vendor) and all of its principals: (a) are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by a Federal Agency or agency; (b) have not within a three year period preceding this proposal been convicted of, or had a civil judgment rendered against them from commission of fraud, or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state, or local) transaction or contract under a public transaction, violation of antitrust statutes or commission of embezzlement, theft, forgery, falsification or destruction of records, making false statements, or receiving stolen property; (c) are not presently indicted for or other criminally or civilly charged by a government entity (federal, state, or local) with the commission of any of the offenses enumerated in (b) of this certification; and (d) have not within a three year period preceding this proposal had one or more public transactions (federal, state, or local) terminated for cause.
2. This certification is a material representation of fact upon which the Agency has relied upon when this transaction was entered into. If it is later determined that the undersigned knowingly rendered an erroneous certification, in addition to other remedies available, the Agency may pursue available remedies including suspension, debarment, or termination of the contract.

Sincerely,

Name and Title

Attachment #5

Date

Roger Stirler, Issuing Officer
Iowa Department of Education
Grimes State Office Building
East 14th and Grand Avenue
Des Moines, IA 50319-0146

Re: Request for Proposal Number #SIS-001
AUTHORIZATION TO RELEASE INFORMATION

Dear Roger Stirler:

[name of vendor] hereby authorizes the Iowa Department of Education to obtain information regarding its performance on other contracts, agreements or other business arrangements, its business reputation, and any other matter pertinent to evaluation and the selection of a successful vendor in response to Request for Proposal Number #SIS-001.

The vendor acknowledges that it may not agree with the information and opinions given by such person or entity in response to a reference request. The vendor acknowledges that the information and opinions given by such person or entity may hurt its chances to receive contract awards from the Agency or may otherwise hurt its reputation or operations. The vendor is willing to take that risk.

The vendor hereby releases, acquits and forever discharges the State of Iowa, the Agency, their officers, directors, employees and agents from any and all liability whatsoever, including all claims, demands and causes of action of every nature and kind affecting the undersigned that it may have or ever claim to have relating to information, data, opinions, and references obtained by the Agency in the evaluation and selection of a successful vendor in response to Request for Proposal Number #SIS-001.

The vendor authorizes representatives of the Agency to contact any and all of the persons, entities, and references which are, directly or indirectly, listed, submitted, or referenced in the undersigned's proposal submitted in response to Request for Proposal Number #SIS-001.

The vendor further authorizes any and all persons, entities to provide information, data, and opinions with regard to the undersigned's performance under any contract, agreement, or other business arrangement, the undersigned's ability to perform, the undersigned's business reputation, and any other matter pertinent to the evaluation of the undersigned. The undersigned hereby releases, acquits and forever discharges any such person or entity and their officers, directors, employees and agents from any and all liability whatsoever, including all claims, demands and causes of action of every nature and kind affecting the undersigned that it may have or ever claim to have relating to information, data,

opinions, and references supplied to the Agency in the evaluation and selection of a successful vendor in response to Request for Proposal Number #SIS-001.

A photocopy or facsimile of this signed Authorization is as valid as an original.

Sincerely,

Printed Name of Vendor Organization

Name and Title of Authorized Representative

Date